

CASE NO! Ogy Ganasa

|                                      |              | 09/884,553       | \$67                  |
|--------------------------------------|--------------|------------------|-----------------------|
| LIST OF PATENTS AND PUBLICATIONS FOR | FILING DATE  |                  | <b>GROUP ART UNIT</b> |
| APPLICANT'S INFORMATION DISCLOSURE   |              | June 18, 2001    | 26                    |
| STATEMENT                            |              |                  |                       |
| (use several sheets if necessary)    | APPLICANT(S) | : Robert Wastlhi | iber et al.           |

REFERENCE DESIGNATION

FORM PTO-1449

## **U.S. PATENT DOCUMENTS**

| EXAMINER INITIAL |    | DOCUMENT<br>NUMBER | DATE     | NAME            | CLASS/<br>SUBCLASS | FILING<br>DATE |
|------------------|----|--------------------|----------|-----------------|--------------------|----------------|
| Vle              | A1 | 5,625,353          | 04/29/97 | Katagiri et al. |                    |                |
| Vie              | A2 | 5,687,103          | 11/11/97 | Hagl et al.     |                    | -              |
| Ve               | A3 | 5,815,089          | 09/29/98 | Katagiri et al. |                    |                |
| Ve               | A4 | 6,124,588          | 09/26/00 | Hagl et al.     |                    |                |

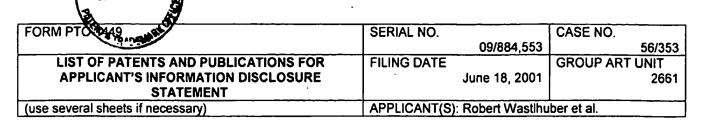
## **FOREIGN PATENT DOCUMENTS**

| EXAMINER INITIAL |     | DOCUMENT<br>NUMBER | DATE     | COUNTRY    | CLASS/<br>SUBCLASS | TRANS<br>YES | LATION<br>NO |
|------------------|-----|--------------------|----------|------------|--------------------|--------------|--------------|
| Ve               | A5  | 30 01 331          | 07/23/81 | W. Germany | X                  |              |              |
| Vie-             | A6  | 40 05 087          | 08/01/91 | Germany    | X                  |              |              |
| 1/6              | A7  | 43 44 916          | 06/30/94 | Germany    | X                  |              |              |
| 1/6_             | A8  | 0 660 209          | 06/28/95 | EP         | X                  |              |              |
| Vie -            | A9  | 197 14 152         | 08/13/98 | Germany    | X                  |              |              |
| 116-             | A10 | 198 11 095         | 09/30/99 | Germany    | X                  |              |              |

| EXAMINER INITIAL |  | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)  |  |  |  |  |  |  |
|------------------|--|---|--|--|--|--|--|--|
| Ne               | A11 Schneider, Sandro, "Verteilte Intelligenz im CAN-Bus-Verbund," F&M, Vol. 104, pp. 757-758. |   |  |  |  |  |  |  |
| Vle              | A12  | Bielski, Steffen et al., "Absolute Messsysteme – Sicherheitserwartungen an die Datenerfassung und Datenubertragung," Automatisieren, Nos. ½, 1996, pp. 6, 8, 9, 12, 14. |  |  |  |  |  |  |
| Ve               | A13  | Pending Claims of Patent Application Assigned to Dr. Johannes Heidenhain GmbH: Serial No.: 09/884,858, Filing Date: 06/18/01, Inventor: Wastlhuber et al.               |  |  |  |  |  |  |

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| Jan | 7               | 01/14/2005 |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



| REFERENCE DESIGNATION                   | U.S. PATENT D |
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| REFERENCE        | DESIG | GNATION U.S                                       | . PATENT DO | CUMENTS     |                    |                |
|------------------|-------|---|-------------|-------------|--------------------|----------------|
| EXAMINER INITIAL |       | DOCUMENT<br>NUMBER<br>Number-Kind Code (if known) | DATE        | NAME        | CLASS/<br>SUBCLASS | FILING<br>DATE |
| 76               | B1    | 5,371,859   | 12/06/94    | Lennartsson |                    |                |

| EXAMINER INITIAL | OTHER ART – NON PATENT LITERATURE DOCUMENTS  (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, se symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where publisher. |  |  |  |  |
|------------------|--|--|--|--|--|
| Ve               | B2   | Kennel, Ralph et al., "Datenkommunication uber das Bussystem,,SERCOS interface"— Auswirkungen auf Antriebsregelungen in Werkzeugmaschinen," Automatisierungstechnische Praxis – ATP, Oldenbourg Verlag, Munchen, Germany, Vol. 33, No. 7, July 1, 1991, pp.363- 368. |  |  |  |

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